



DUAL USE RESEARCH OF CONCERN (DURC)

Principal Investigator Registration Form

INSTRUCTIONS FOR ELECTRONIC SUBMITTAL

You must first complete and save this form as a **pdf** file. The completed form is required to be submitted to the Institutional Review Entity (IRE) as a supporting document via Research Navigator IBC. Basic demographic information is required to be entered into the Research Navigator IBC system. Anyone can complete the form but the PI is the only person who can submit. Follow the steps below to attach and submit your completed **pdf** form.

1. Login to [atNYULMC](#) , pull up the Research Navigator application and select “I want to view my IBC Registrations” from the tabs displayed.
2. You will be required to login to the IBC module with your Kerberos ID and password. After you login, at your home screen, select the **New IBC Application** button which appears on the left side of the screen. Complete the following:
 - i. Project Title (**TITLE MUST BEGIN WITH THE WORD "DURC"**)
 - ii. Sponsor
 - iii. Submission Department
 - iv. Principal Investigator
 - v. **Do Not enter Study Staff** – you should have listed them on the DURC Registration Form
 - vi. Additional team members who have EDIT rights - **Add name of person completing form**
 - vii. Building where DURC agent(s) will be used – **List all**
 - viii. Room number where DURC agent(s) will be used – **List all**
 - ix. Answer "No" to "Involves Human Genome Transfer"

You will then select **Continue** on the bottom right corner of the page and complete the following entries:

3. Answer “**No**” to all items on the Recombinant DNA page

You will then select **Continue** on the bottom right corner of the page and complete the following:

4. Answer “**No**” to Section D.
5. Indicate in Section E that the description of the proposed research can be found in the DURC Registration form.
6. Skip Section F. You will be completing it (or have already completed it) as part of your IBC application.
7. Answer “**No**” to Section G.

You will then select **Finish** on the bottom right corner of the page.

Attach the completed DURC Registration pdf file as a Supporting Document by selecting **Add Supporting Documents** under My Activities. Once you have successfully added the form, the PI can then submit the DURC Registration form by selecting **Submit**.



NYU



DUAL USE RESEARCH OF CONCERN (DURC) Principal Investigator Registration Form

Dual use research of concern (DURC) is defined as a subset of life sciences research that, based on current understanding, can be reasonably anticipated to provide knowledge, information, products, or technologies that could be directly misused to pose a significant threat with broad consequences to public health and safety, agricultural crops and other plants, animals, the environment, or national security. The **United States Government Policy for Institutional Oversight of Life Sciences Dual Use Research of Concern** (USG Policy) describes the policies, practices, and procedures required to ensure DURC is identified and risk mitigation measures are implemented at the institutional level (<http://www.phe.gov/s3/dualuse/Documents/durc-policy.pdf>). The **NYU Policy on Identification and Oversight of Dual Use Research of Concern** (NYU DURC Policy) has been developed to establish compliance with the USG policy and requirements.

In accordance with the NYU DURC Policy, Principal Investigators (PI) must complete and submit this registration form to the NYU Institutional Review Entity (IRE) if undertaking research with any of the agents and toxins covered by the [USG Policy](#) and listed in Section 3.1a of this Registration Form. This form must be submitted before initiation of research and at least annually.

PIs are also required by the NYU DURC Policy to conduct on-going DURC assessments for all research involving agents and toxins listed in Section 3. of this form. If an on-going assessment determines that a DURC potential exists, the PI must notify the Institutional Contact for Dual Use Research (ICDUR) as soon as possible. The PI must submit an updated registration form to the IRE, of when the last registration form was submitted.

Questions regarding this form or any aspect of DURC, may be directed to the [Institutional Contact for Dual Use Research \(ICDUR\)](#). Additional information regarding DURC may also be obtained by contacting the Environmental Health & Safety (EH&S) office at your institution.

NYU School of Medicine EH&S: 212-263-5159 or
<http://central.nyumc.org/shared/redf/eh-s/Pages/Home.aspx>

NYU Washington Sq EH&S: 212-998-1450 or
<http://www.nyu.edu/life/safety-health-wellness/be-safe/environmental-health-and-safety.html>



NYU

DUAL USE RESEARCH OF CONCERN (DURC) Principal Investigator Registration Form



1. Principal Investigator (PI)

Name (Last, First, MI):	Required Field
Department	Required Field
Mailing address	
Email:	Required Field
Phone:	
Fax:	

2. Person Completing This Document (If Not the PI)

Name (Last, First, MI):	
Department	
Mailing address	
Email:	
Phone:	
Fax:	



DUAL USE RESEARCH OF CONCERN (DURC) Principal Investigator Registration Form



3. Agent and Project Information

3.1a Do you currently use or possess any of the agents or toxins listed below? **(Check all that apply)**

*Note: For purposes of the [USG Policy](#) and this registration form, there are **no exempt quantities of Botulinum neurotoxin.**

- | | |
|---|---|
| <input type="checkbox"/> Avian influenza virus (highly pathogenic) | <input type="checkbox"/> Botulinum neurotoxin (any quantity)* |
| <input type="checkbox"/> Bacillus anthracis | <input type="checkbox"/> Burkholderia pseudomallei |
| <input type="checkbox"/> Burkholderia mallei | <input type="checkbox"/> Foot-and-mouth disease virus |
| <input type="checkbox"/> Ebola virus | <input type="checkbox"/> Marburg virus |
| <input type="checkbox"/> Francisella tularensis | <input type="checkbox"/> Rinderpest virus |
| <input type="checkbox"/> Reconstructed 1918 influenza virus | <input type="checkbox"/> Variola major virus |
| <input type="checkbox"/> Toxin-producing strains of Clostridium botulinum | <input type="checkbox"/> Variola minor virus |
| | <input type="checkbox"/> Yersinia pestis |

3.1b Please identify any life sciences research you conduct at this institution that involves non-attenuated forms of one or more of the agents or toxins listed in Section 3.1a above **(please use a separate DURC form for each identified research project).**

Note: Submission of this form is required annually for all researchers conducting research with any of the agents and toxins listed above. If your research involves none of the agents or toxins above, you are not required to submit this form.

If you possess but do not have active research with any of the agents/toxins listed in 3.1a, please state that below (in the project title section) and describe the status of your research. If at any time in the future, you anticipate using one of the agents listed in Section 3.1a above, you will need to notify the IRE **before** initiating your project.

Project Title (Required Field - if you do not yet have a project title, please describe status of your project)



DUAL USE RESEARCH OF CONCERN (DURC) Principal Investigator Registration Form



3.1c Project Description (Attach additional pages, if necessary):

3.2 TYPE OF FUNDING SOURCE(S) FOR THIS PROJECT

- | | |
|---|---|
| <input type="checkbox"/> Department/institutional funds | <input type="checkbox"/> Foundation |
| <input type="checkbox"/> Federal funds | <input type="checkbox"/> Business /industry |
| <input type="checkbox"/> Other | |

IF PROJECT IS SUPPORTED WITH FEDERAL FUNDS, NAME OF FUNDING AGENCY AND GRANT OR CONTRACT NUMBER:

3.3 IBC REGISTRATION

HAVE YOU REGISTERED THIS RESEARCH PROJECT WITH THE IBC?

YES

NO

3.4 IBC APPROVAL #:

3.5 IBC APPROVAL DATE:



DUAL USE RESEARCH OF CONCERN (DURC) Principal Investigator Registration Form



5. Assessment of Experimental Effects

PIs are required to conduct initial and ongoing assessments of research involving nonattenuated forms of any of the agents and toxins listed in Section 3.1a. **Note:** Submission of this form is required annually from all researchers conducting research with any of the agents and toxins listed in Section 3.1a of this form.

If at any time a PI determines their research has DURC potential, the PI must immediately submit an updated form to the IRE, regardless of when the last registration form was submitted.

1. Enhances the harmful consequences of the agent or toxin

- a. No
- b. Yes (please describe below)

2. Disrupts immunity or the effectiveness of an immunization against the agent or toxin without clinical or agricultural justification

- a. No
- b. Yes (please describe below)



DUAL USE RESEARCH OF CONCERN (DURC) Principal Investigator Registration Form



3. Confers to the agent or toxin resistance to clinically or agriculturally useful prophylactic or therapeutic interventions against that agent or toxin or facilitates its ability to evade detection methodologies
- a. No
- b. Yes (please describe below)
4. Alters properties of the agent or toxin in a manner that would enhance its stability, transmissibility, or ability to be disseminated.
- a. No
- b. Yes (please describe below)
5. Alters the host range or tropism of the agent or toxin.
- a. No
- b. Yes (please describe below)



DUAL USE RESEARCH OF CONCERN (DURC) Principal Investigator Registration Form



6. Enhances the susceptibility of a host population to the agent or toxin.

a. No

b. Yes (please describe below)

7. Generates or reconstitutes an eradicated or extinct agent or toxin listed in Section 3.2 of this form.

a. No

b. Yes (please describe below)

Questions regarding this form or any aspect of DURC, may be directed to the [Institutional Contact for Dual Use Research \(ICDUR\)](#). Additional information regarding DURC may also be obtained by contacting the Environmental Health & Safety (EH&S) office at your institution.

NYU School of Medicine EH&S: 212-263-5159 or

<http://central.nyumc.org/shared/redf/eh-s/Pages/Home.aspx>

NYU Washington Sq EH&S: 212-998-1450 or

<http://www.nyu.edu/life/safety-health-wellness/be-safe/environmental-health-and-safety.html>