

## Opinion

## Towards a pluralistic neurobiological understanding of consciousness

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Theories of consciousness are often based on the assumption that a single, unified neurobiological account will explain different types of conscious awareness. However, recent findings show that, even within a single modality such as conscious visual perception, the anatomical location, timing, and information flow of neural activity related to conscious awareness vary depending on both external and internal factors. This suggests that the search for generic neural correlates of consciousness may not be fruitful. I argue that consciousness science requires a more pluralistic approach and propose a new framework: joint determinant theory (JDT). This theory may be capable of accommodating different brain circuit mechanisms for conscious contents as varied as percepts, wills, memories, emotions, and thoughts, as well as their integrated experience.

### A pluralistic approach to consciousness

In consciousness science it is commonly assumed that a single set of mechanisms can explain diverse phenomena of consciousness, from states of consciousness to contents of consciousness, from perception to introspection. This assumption partly stems from Crick and Koch's seminal paper in 1990 [1]. This paper legitimized the topic of consciousness as a serious scientific discipline and launched the next 30 years of fruitful experimental research on consciousness. At the same time it declared visual awareness as a 'favorable form of consciousness to study neurobiologically', reasoning that 'all forms of consciousness (e.g., seeing, thinking, and pain) employ, at bottom, rather similar mechanisms'. This reasoning has instilled optimism in many consciousness scientists that theories inspired by the investigation of visual awareness will automatically extend to other forms of awareness (see Box 1 for a historical perspective). However, could it be that different types of conscious awareness (e.g., perception vs emotion) require different neurobiological accounts?

I advocate an alternative approach to empirical research on consciousness – which is to fully examine the neurobiological underpinnings of each type of conscious awareness (e.g., percepts, introspection, wills, memories, emotions, and thoughts) without favoritism. This pluralistic approach will allow the field to build a stronger empirical foundation and become more integrated with other cognitive neuroscience disciplines. If, in the end, a common set of neurobiological principles emerges across diverse types of conscious awareness, then that would be wonderfully simplifying; if not, we will have gained much fundamental knowledge along the way that is important for both basic science and clinical applications.

Another key motivation for this pluralistic approach to consciousness research is that although prominent theories of consciousness focus on unifying principles, experimental work on consciousness has focused on testing the specific **neural correlates of consciousness (NCCs)** [2] favored by each theory, often assuming that NCCs of a similar nature will be found across modalities and experimental paradigms. I first argue that the search for generic (i.e., context-independent) NCCs is unlikely to be fruitful because, even within the same modality

### Highlights

Recent neuroscientific findings challenge the widely held assumption that similar neural mechanisms underlie different types of conscious awareness, such as seeing, feeling, knowing, and willing.

These data show that, even within visual awareness, the brain regions involved, the latency of neural activity, and the information flow patterns underlying conscious perception vary depending on both external and internal factors.

Different types of conscious awareness – such as perception and volition – likely have different neural processing architecture. Thus, a pluralistic approach to investigating the neurobiological underpinnings of consciousness may be more fruitful.

A new framework, the JDT, is proposed which has the potential to accommodate different brain circuit-level mechanisms underlying different aspects of conscious awareness.

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### Box 1. Additional historical context

Although the founding fathers of modern psychological theories recognized that conscious awareness or the lack thereof is an important dimension in organizing most mental faculties [105], the rise of the behaviorist school in the mid-20th century stigmatized and smothered the study of subjectivity including consciousness until the revival of the discipline by Crick and Koch, as mentioned in the main text. The re-legitimization of consciousness science happened slowly over the next two decades (~1990–2010), pushed by the efforts of a small group of psychologists and neuroscientists who were unafraid of taboos and funding/job challenges [106].

This group has grown significantly in both legitimacy and size, as represented by ever-increasing mainstream publications of consciousness research and the growing membership of the Association for Scientific Study of Consciousness (currently >700 active members). However, because the consciousness field is small, the bulk of the research has centered around relatively narrow slices of consciousness-related questions – notably conscious perception and states of consciousness. Bridges with other related cognitive/systems neuroscience disciplines, such as memory, emotion, pain, and decision making, remain scanty. Two factors may have contributed significantly to the lack of integration with other neuroscientific disciplines: (i) the reservation of scientists working in these other disciplines about being associated with the 'C' word, and (ii) the difficulty of assessing subjective awareness in animal models that have become an important component of scientific investigation on these other topics.

However, at least within human neuroscience where subjective reports provide rich access to the content of conscious awareness, there is no reason that consciousness science should not have stronger bridges with other cognitive neuroscience disciplines. For instance, the classification of memory systems into two types according to whether the memory content is accessible to conscious recall (explicit/declarative memory) or not (implicit/non-declarative memory) lies at the foundation of modern memory research [107–110]. However, over the past 30 years consciousness research and memory research have largely proceeded in parallel with little crosstalk. Similarly, there is a large and vibrant field of pain research, involving both human neuroimaging and animal models. Although pain is by definition a form of conscious awareness, research findings from pain research have not strongly informed theories of consciousness. Conversely, animal models of pain routinely use a tail-flicking test in response to noxious stimuli without questioning whether there is any conscious pain perception at all.

(e.g., conscious visual perception), the anatomical location, timing, and information flow pattern of neural activity related to awareness vary depending on external and internal factors. From there, zooming out, I suggest that the assumption that the mechanistic understanding obtained by studying visual awareness will automatically extrapolate to other forms of awareness may not hold, and, therefore, that neurobiological investigations of consciousness will benefit from a more pluralistic approach. Last, I propose a new framework, the joint determinant theory of consciousness, that may be able to accommodate a variety of brain circuit-level mechanisms that co-contribute to conscious awareness and its integrated phenomenology.

### Current theories and major debates

A recent in-depth review of prominent consciousness theories can be found in [3]. This section only provides a brief introduction for those not already familiar with this field.

Integrated information theory (IIT) is a formal mathematical theory postulating that the irreducible maximum of integrated information ( $\phi$ ) is equivalent to consciousness and that its geometry is equivalent to phenomenal experience (i.e., qualia) [4]. Originally inspired by observations about different states of consciousness [5], the principles of IIT have been generalized to explain the contents of consciousness [6]. A widely known weakness of IIT is that it is difficult to calculate  $\phi$  in any large system, including the brain. Thus, experiments currently testing IIT focus on its postulate that the grid-like structure in the posterior cortex is sufficient for conscious perception [7].

Similarly to IIT, recurrent processing theory (RPT) predicts that posterior brain regions (especially occipitotemporal cortices) are sufficient for supporting visual awareness [8]. RPT was originally inspired by neurophysiological observations of conscious visual perception and has stayed close to its roots in delineating the explanatory scope of the theory as visual awareness.

### Glossary

**Active dynamics:** neuronal activity that can be measured by a variety of neuroimaging (e.g., fMRI) and electrophysiology

[e.g., electroencephalography (EEG), magnetoencephalography (MEG), local field potential (LFP) recordings, and single/multi-unit activity] methodologies. In the context of this paper, pre-existing brain states manifesting as active dynamics can be triggered by cognitive influences (e.g., prior knowledge) or spontaneous neural activity fluctuations unrelated to cognition.

**Baseline correction:** the common practice of subtracting prestimulus 'baseline' brain activity from post-stimulus brain activity to extract 'stimulus-evoked activity' in neuroimaging and electrophysiology analyses.

**Latent memories:** changes in synaptic connectivity between neurons owing to synaptic plasticity induced by experiences. These synaptic connectivity changes are encoded in structural neural networks and are not always accompanied by measurable neuronal activity until a sensory input that 'reinstates' (i.e., reactivates) that memory arrives; hence, they are called 'latent'.

**Neural correlates of consciousness (NCCs):** the minimum neural mechanisms that are jointly sufficient for any one specific conscious experience.

**One-shot perceptual learning:** when the perceptual outcome for a given sensory input is qualitatively and robustly altered by a single, related experience. In the example given in the main text, viewing a clear picture allows instant recognition of a related degraded picture that was previously unrecognizable, and this effect lasts over many months.

**Perceptual invariance:** the ability to recognize/identify the same object or the same class of objects under different viewing conditions.

**Selectivity:** how informative the firing rate/pattern of a neuron is about an aspect of stimulus input (such as shape in the main text example).

**Trajectory:** at any moment in time, brain activity from a given region or the whole brain can be conceived as a point in a high-dimensional state space in which each dimension describes the activity level of a particular neuron (or group of neurons, or brain region). Over time, the evolution of brain activity traces a trajectory in this state space.

Like RPT, the global neuronal workspace (GNW) theory [9] has focused on visual awareness in its empirical investigation. However, because it is motivated by the functionalist account suggesting that consciousness is crucial for multiple cognitive functions [10], GNW makes the opposite neuroanatomical prediction from RPT, suggesting that an 'ignition process' in the prefrontal cortex (PFC), in coordination with the parietal cortex, is integral to all forms of conscious awareness. A recent challenge for GNW theory is that a key neural signature of conscious access proposed by GNW theory, the P3b event-related potential (ERP), is not a reliable neural correlate of conscious perception [11–13].

Similarly to GNW theory, higher-order theories (HOTs) of consciousness predict that the PFC is essential for all forms of conscious awareness [14,15]. However, unlike GNW and RPT, HOT does not stem from neurobiological observations but instead has deep roots in philosophy, with its original ideas postulating that a mental re-representation of an initial representation is needed for conscious awareness, without being specific about its neurobiological implementation.

Finally, the predictive processing framework was recently proposed as a general theory for brain function that may guide consciousness research rather than being a theory of consciousness *per se* [16–18]. As it currently stands, how much predictive processing can be carried out unconsciously and which attributes allow a predictive process to gain access to conscious awareness remain unclear, and more needs to be done to make the theory more precise.

As can be gleaned from the aforementioned short summaries, a major current point of contention is the role of the PFC in conscious awareness, with some theories postulating that it is crucial (GNW and some versions of HOT) and others postulating that it is nonessential (RPT and IIT). Another point of contention is whether the neural correlate of conscious awareness happens early (<250 ms) or late (>250 ms) following stimulus onset [9,11–13,19]. Importantly, in these current debates, both sides embrace the unified account assumption, assuming that the anatomical regions and temporal latency of neural activity related to conscious perception are relatively fixed. In what follows I first review recent evidence suggesting that this is not the case, and then broaden the discussion to examine other types of conscious awareness.

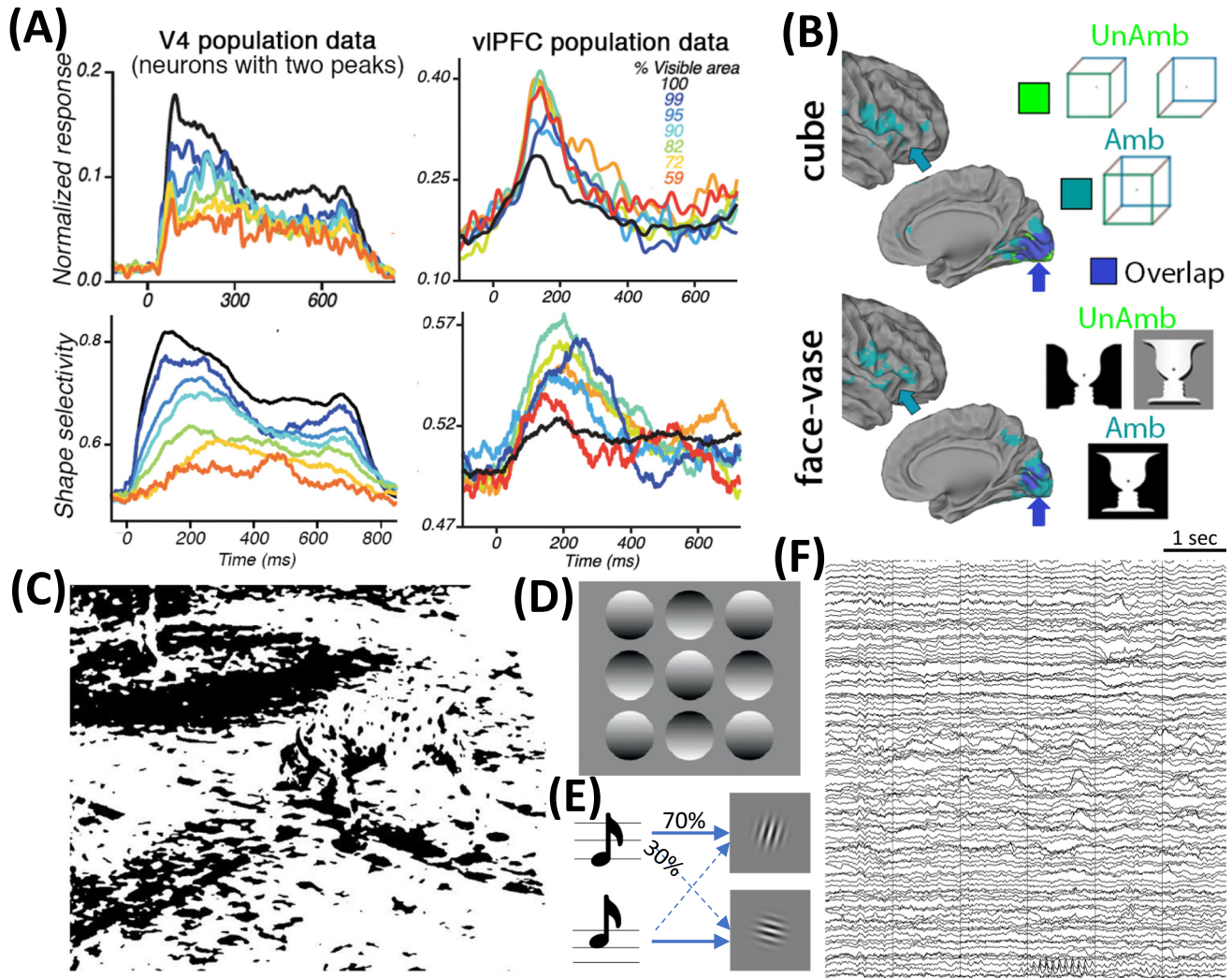
### Conscious visual perception

Increasing evidence suggests that the neural mechanisms supporting visual awareness may vary depending on external and internal factors.

#### Stimulus properties (external factors)

Accumulating evidence suggests that the more challenging and ambiguous a sensory input is, the more involved the PFC becomes in order to resolve conscious perception. In an fMRI study [20], the authors presented participants with ambiguous figures triggering bistable perception and their modified versions in which the ambiguity was removed to elicit stable perception. They found that perceptual content could be decoded from early visual cortex in both bistable perception and unambiguous perception, whereas it could be decoded from ventrolateral PFC (vlPFC) and orbitofrontal cortex (OFC) only during bistable perception (Figure 1A). Using visual masking and combined fMRI and magnetoencephalography (MEG), an earlier study proposed that a fast feedforward sweep via the magnocellular pathway activates the OFC at an early latency (100–150 ms), which sends a top-down template with a crude 'guess' of stimulus content to the inferotemporal (IT) cortex to facilitate object recognition [21].

These findings are amplified by recent monkey neurophysiology evidence. In one study, monkeys were presented with occluded shapes [22]. As the occlusion level increased, the firing rates and



## Trends in Cognitive Sciences

**Figure 1. External and internal factors influencing conscious perception and its neural correlates.** (A) Visual area 4 (V4) and ventrolateral PFC (vIPFC) neuronal firing rates (top) and shape selectivity (bottom) at a range of stimulus occlusion levels (line colors). Dark blue colors show low occlusion levels, and yellow-red colors show high occlusion levels. Reproduced, with permission, from [22]. (B) Searchlight decoding of perceptual content when the image is ambiguous (Amb, teal) or unambiguous (UnAmb, green), with the overlap shown in dark blue. Results are similar for the Necker cube (top) and the Rubin face-vase image (bottom). Dark blue arrows point to visual area 1 (V1), teal arrows point to vIPFC. Reproduced, with permission, from [20]. (C) The famous Dalmatian dog picture created by Richard Gregory [38]. (D) Our 'light-from-above' prior learned from life-long experiences confers strong depth cues to 2D images. (E) A schematic of common paradigms used to manipulate top-down knowledge, where a low (high) tone predicts higher probability of a right-leaning (left-leaning) Gabor patch occurring. (F) A 6 s stretch of raw intracranial electroencephalography (iEEG) data recorded from >100 channels in one patient during resting wakefulness, showing the constant wax-and-wane of spontaneous brain activity at many different frequencies (e.g., alpha waves at the bottom of the graph lasting ~1 s, delta waves in the middle section of channels, and aperiodic fluctuations [102] in all channels). Data collected by B.J.H.

shape **selectivity** of neurons in visual area 4 (V4) decreased, consistent with the reduced bottom-up stimulus strength (Figure 1B, left). By contrast, the firing rates and shape selectivity of vIPFC neurons exhibited an inverted-U function with occlusion level, being highest for an intermediate level of occlusion (Figure 1B, right). Importantly, vIPFC activity (peaking at 150–180 ms) preceded a second activity peak in V4, in which V4 neurons displayed stronger shape-related information from vIPFC to V4. Another study showed that the inactivation of vIPFC



specifically disrupted object recognition for more challenging images that were typically associated with longer reaction times (RTs) [23], demonstrating the necessity of vIPFC for resolving object recognition of more complex sensory input. A recent no-report study using binocular rivalry showed that vIPFC neurons track the content of conscious perception even when there was no need for task report [24].

Thus, converging evidence suggests that whether the PFC is involved in conscious perception might depend on the characteristics of the sensory input: if it is simple and unambiguous, the PFC might not be needed [25]; if it is complex or ambiguous, at least ventral PFC (vIPFC or OFC) appears to be recruited. Why ventral PFC? A straightforward answer is that vIPFC and OFC are both one synapse away from IT cortex [26] and contain many neurons tuned to specific stimulus properties, including spatial location, shape, color, and object category [27,28] (e.g., there is a frontal face patch in vIPFC [29,30]). Hence, these areas might be ideally suited to providing a top-down perceptual template based on a fast initial analysis of the global scene to facilitate more detailed processing in ventral visual regions. Consistent with this idea, OFC lesion [31] and electrical stimulation of vIPFC [32] can cause complex visual hallucinations (although stimulation-induced after-discharges in [32] might complicate its interpretation). This general idea is also well aligned with the coarse-to-fine processing framework [33–35] which has received increasing empirical support recently [36,37]. Incidentally, the idea that higher-order brain regions become increasingly involved in resolving conscious perception of more challenging stimuli also helps to explain why classic vision science and research on conscious visual perception have had somewhat different anatomical focuses (Box 2).

#### Pre-existing brain states (internal factors)

Pre-existing brain states, including both synaptic connectivity patterns sculpted by past experiences (**latent memories**) and moment-to-moment changes in brain activity (**active dynamics**), can powerfully influence conscious perception. Latent memories influencing conscious perception can be acquired through a one-time experience (**one-shot perceptual learning**, such as recognizing for the first time the famous 'Dalmatian dog' picture [38]; Figure 1C) or life-long experiences (such as the 'light-from-above' prior that confers depth cues to 2D images [39]; Figure 1D). Active neural dynamics that influence conscious perception can be induced through top-down knowledge (e.g., about the probability of the upcoming stimulus content [40,41]; Figure 1E) or can result from spontaneous brain activity fluctuations [42–46] (Figure 1F). That all these pre-existing brain states influence conscious perception is well established; here I review evidence showing that the neural signatures (in the post-stimulus period) directly related to conscious perception vary as a function of pre-existing brain states.

Inspired by the 'Dalmatian dog' picture, recent studies presented participants with degraded black-and-white images that are difficult to recognize at first, their matching original grayscale pictures, and the degraded images again [47]. This paradigm elicits a highly robust behavioral effect: recognition of the degraded images is drastically improved by seeing their matching original pictures, and the effect lasts for >5 months [48,49]. Strikingly, this effect does not depend on the hippocampus, suggesting a neocortical plasticity mechanism [48]. Following disambiguation, recognition-related RTs for degraded images are ~800 ms [50], which is much longer than the typical RTs for clear images (~300 ms). fMRI and MEG findings show that this slow RT is explained by long-range recurrent neural dynamics across multiple large-scale brain networks that unfold over 300–500 ms after stimulus onset [51–53]. Thus, when prior knowledge is necessary to resolve difficult sensory input, the timing of involved neural activity can be very slow due to the need for long-range recurrent activity.

Box 2. Historical differences between conscious perception research and classic vision research

Historically, consciousness science and mainstream vision research have targeted different regions of the stimulus space. The majority of conscious visual perception work to date has used threshold-level (low-contrast or masked) or otherwise ambiguous stimuli such that the same stimulus can trigger different perceptual outcomes at different times, so as to distinguish neural activity associated with conscious perception from that associated with unconscious processing (Figure 1A) (e.g., [90,111–115]). By contrast, mainstream vision research tends to use high-contrast, unambiguous stimuli, and focuses on identifying neural activity underlying different contents of (presumably conscious) visual perception or neural activity that supports **perceptual invariance** (Figure 1B) (e.g., [25,116–118]). Another major line of mainstream vision research involves using stimuli across a range of ambiguity levels (e.g., the random dot-motion task) to investigate visually guided behavior, in a field called perceptual decision-making, without being much concerned about whether the perception that guides behavior is conscious or not [119].

Paralleling this difference in stimulus choice, mainstream vision research largely focuses on visual cortices (i.e., dorsal and ventral stream regions in occipitoparietal and occipitotemporal cortices), and PFC is discussed mainly in the context of top-down attention or task set [120,121]. By contrast, PFC is a focal point of contention in conscious perception research and is postulated to be essential for visual awareness according to at least two major theories (GNW and HOT). The idea described in the main text [section on Stimulus properties (external factors)] suggests that this difference in the neuroanatomical focus between vision science and consciousness science might, at least to some extent, be attributed to their different choices of stimuli and paradigms.

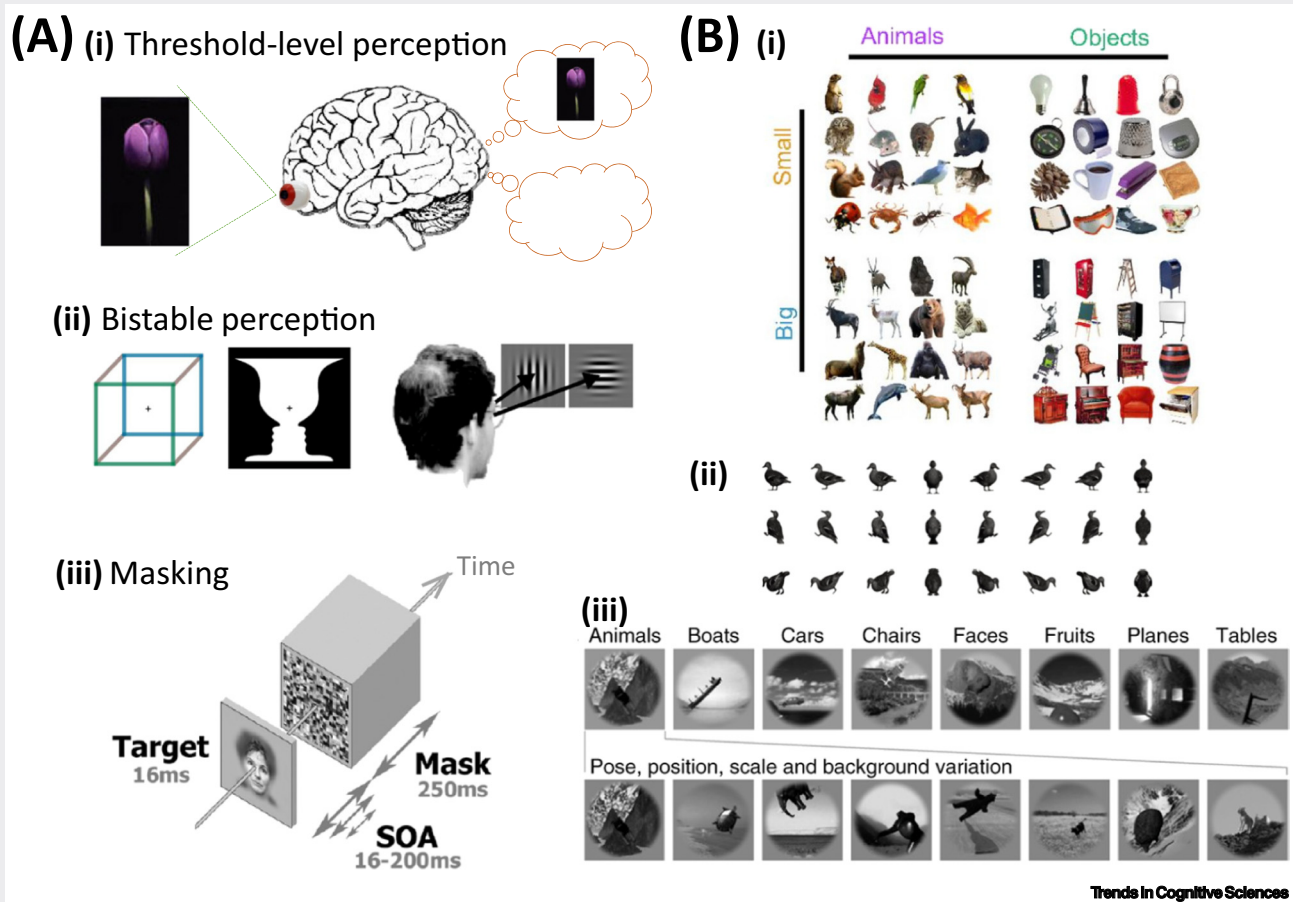


Figure 1. Paradigms commonly used in conscious visual perception and classic vision studies. Both fields tackle both low-level (e.g., orientation and color) and high-level (e.g., faces, objects, and scenes) perception; primarily high-level examples are given here. (A) Depicts paradigms used in conscious visual perception studies. (i) Threshold-level perception, where the same stimulus, when repeatedly presented at the perceptual threshold, triggers different perceptual outcomes on different trials. (ii) Bistable perception, triggered by ambiguous figures (left) or binocular rivalry (right). (iii) Visual backward masking: at a fixed threshold-level stimulus-onset asynchrony (SOA) (e.g., 80 ms), the target is consciously perceived on some trials and not perceived on other trials. (B) Depicts paradigms used in classic vision studies. (i) Object stimuli organized by two cardinal dimensions: animacy (top) and size (left). (ii) Pose manipulation of a given object. (iii) Stimulus set that manipulates category (top row) and low-level properties such as pose, position, size, and background (bottom row). Panels (Aii,iii) were adapted, with permission, from [20,122] and [112], respectively. Panels (Bii–iii) were adapted, with permission, from [123,116,124], respectively.

A recent study using invasive EEG revealed that lifelong experiences influencing perception also recruit long-range feedback in the brain [54]. In bistable perception triggered by ambiguous images such as the Necker cube, congruency with lifelong experiences (e.g., we tend to see a cube situated on the floor rather than hanging in the air) can promote one of the alternative percepts (e.g., seeing the cube from the top). When perceiving such a view, there is increased top-down feedback activity, whereas perceiving the alternative view is accompanied by strengthened bottom-up feedforward activity. Thus, not only the latency of neural activity but also the pattern of information flow underlying conscious perception may depend on whether prior knowledge needs to be brought to bear to resolve perception (or, alternatively, whether the perceptual outcome is consistent with prior knowledge).

The previously mentioned studies reveal how latent memories in the brain influence conscious perception. Regarding active neural dynamics, an early EEG study using a perceptual hysteresis paradigm showed that the availability of stimulus-relevant prior knowledge (having seen a clear letter before seeing a noisy version of it) hastened the temporal latency of the EEG response related to conscious perception from ~300 ms to ~200 ms [55]. Because this paradigm does not induce long-lasting memories, the stimulus-relevant prior knowledge was likely encoded in active neural dynamics that manifest as specific prestimulus brain states [56].

Finally, in supra-threshold visual stimulus detection/discrimination tasks, where a physically identical stimulus is repeatedly presented across trials and always consciously perceived, prestimulus spontaneous brain activity – as measured by fMRI [57], intracranial EEG [58], and EEG oscillation powers [59] – influences the magnitude (and sometimes even the sign) of stimulus-evoked responses across widespread brain regions. Therefore, although it remains possible that there is a constant, invariant neural signature of conscious perception, it was not discovered by current standard analysis methods (for a state-space **trajectory**-based view that does not depend on a standard analysis pipeline involving **baseline correction**, see [60]).

In sum, neural signatures – in terms of anatomical location, activity magnitude, timing, and information flow patterns – underlying conscious visual perception vary depending on both external (stimulus properties) and internal (pre-existing brain states) factors.

### Beyond visual perception

Beyond conscious visual perception, it is even more plausible that diverse forms of conscious awareness, even within the same species or the same individual, are supported by distinct types of neurobiological mechanisms. In this section I discuss these considerations and highlight several fruitful topics of investigation that have received relatively little attention in consciousness research.

First, the neurobiological mechanisms underlying visual awareness may not automatically translate to other sensory modalities. For example, olfactory awareness is supported by anatomical pathways that have a different structure than the other senses: the primary olfactory cortex is the piriform cortex (part of the three-layered paleocortex) and related structures which send inputs directly to OFC, bypassing the thalamus [61]. Electrical stimulation of the OFC elicits a conscious sense of smell [62], and lesions to the OFC can cause a complete loss of olfactory awareness [63,64]. Thus, the debate about whether NCC lives in the 'front' or 'back' of the brain [65,66] seems reflective of a vision-centric view, and conclusions that only posterior brain regions specify the content of consciousness (e.g., [65]) cannot be correct.

Second, the limbic circuit plays a strong role in emotional awareness, and electrical stimulation of medial PFC, anterior cingulate cortex, and insular cortex can elicit emotions such as fear, joy, and

sadness [62,67]. Electrical stimulation of the posterior cingulate cortex causes distorted bodily awareness and self-dissociation [68]. The role of bodily physiological changes [69] in emotional awareness is an intriguing topic: are our conscious emotions the result of the brain perceiving bodily changes [70] or are conscious emotions and bodily changes two parallel consequences of the same unconscious processing in the subcortical circuitry [71]?

Third, conscious volition – conscious awareness related to voluntary actions – has been an intense topic of investigation in philosophy, psychology, and neuroscience [72]. Volition has two components: conscious intention (the feeling that we act 'as we choose' [73]) and the sense of agency (the feeling that one is in control of one's actions [74]). Conscious intention is generated by an interconnected brain circuit involving dlPFC ('distal intention'), the inferior parietal lobule (IPL, 'proximal intention'), and the supplementary motor area (SMA)/pre-SMA ('release of inhibition'). Electrical stimulation of IPL or SMA/pre-SMA can alter or trigger conscious movement intention [75–78]. The sense of agency, on the other hand, appears to depend on a comparator process within the parietal lobe that compares a forward model (i.e., efference copy) with sensory feedback to compute an error for online movement correction [74,79]; the larger the error, the weaker the sense of agency [80]. Therefore, the computational architecture underlying volition seems to be very different from that underlying perception – it is difficult to imagine a top-down prediction as precise as that triggered by self-initiated movements contributes to conscious perception in most daily environments.

Finally, two important aspects of consciousness have received relatively little attention in the consciousness field: conscious memory recall and conscious thinking. A large and growing neuroscientific literature describes the coordination between hippocampus and neocortex (specifically, regions of the default-mode network) during conscious memory recall [81–83], which is a conscious experience as vivid as perceptual awareness. Conscious thinking likely relies heavily on working memory and executive control, and therefore relies on the frontoparietal circuits that support these functions.

Importantly, all these forms of conscious awareness also have an unconscious counterpart, thus allowing the contrastive approach that consciousness researchers have fruitfully used in studying visual awareness. For instance, emotional processing has an unconscious component [70,84]; an unconscious accumulator process might precede conscious movement intention [85]; implicit memory influences behavior but cannot be consciously recalled [86,87]; finally, the inner workings of our intuitive 'gut feelings', which support expert judgments [88,89], are typically outside conscious awareness.

### The joint determinant theory of consciousness

I sketch out here a neurobiological framework consistent with the aforementioned view, and which could serve as a starting point, or initial scaffold, for neurobiological investigations of different types of conscious awareness through a pluralistic approach. The explanatory target of JDT is human consciousness, and its ideas rest primarily on empirical findings in human subjects where subjective reports are available. As such, although JDT could be extrapolated to other animals with similar brains, JDT remains agnostic about consciousness in animals with very different nervous systems or machine consciousness. JDT has the following postulates:

- (i) In neurotypical humans, consciousness is primarily generated by the cerebral cortex, with subcortical structures and the cerebellum playing a supportive role. For instance, subcortical structures could provide a generic activation to help to maintain content-specific signals in the cortex [90,91] or modulate network interactions within the cortex [92]. However, this does not



prevent the subcortical system from generating rudimentary awareness when the cortex is unavailable due to agenesis or atrophy [93].

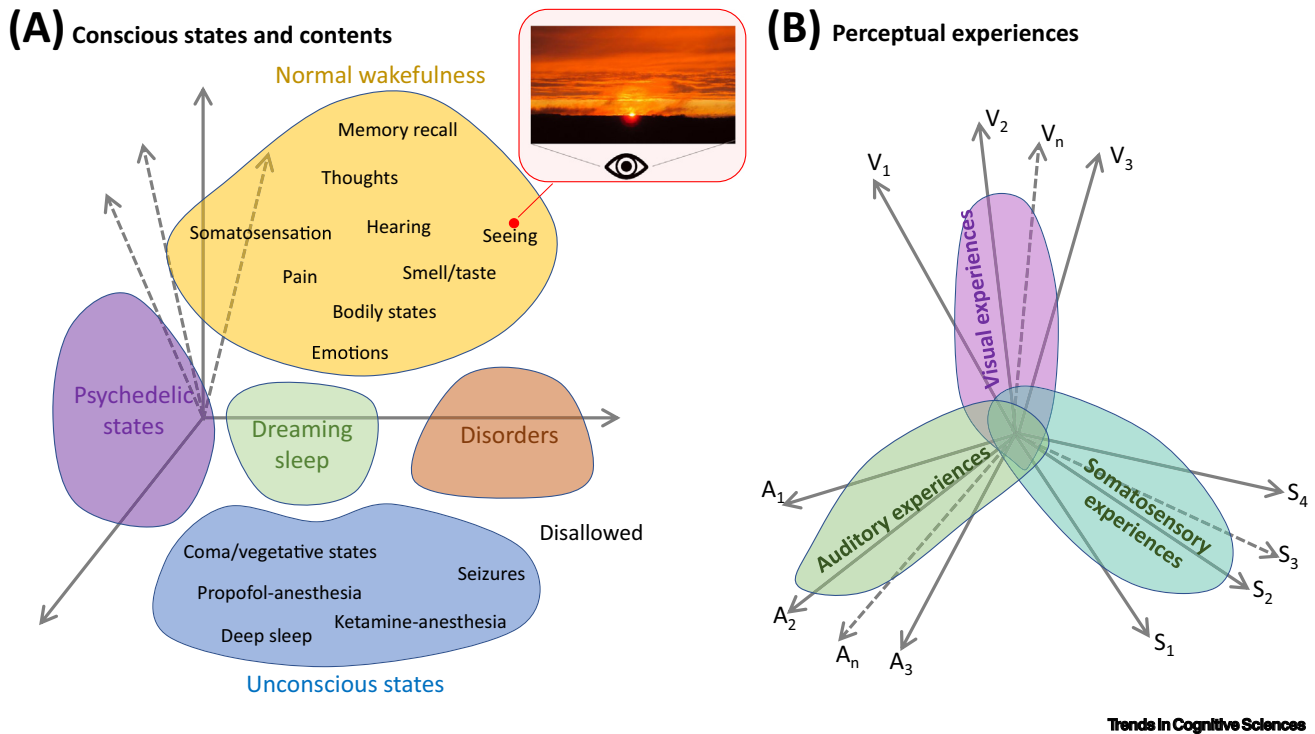
- (ii) Owing to the high level of recurrent connectivity within the cerebral cortex, its small-world topology [94], and the overall similar microcircuitry across the cortex [95] (notwithstanding macroscopic gradients in spine densities and neurotransmitter profiles [96]), all cortical regions are capable of contributing to conscious awareness.
- (iii) Different cortical regions/networks contribute preferentially to different aspects of conscious awareness. For instance, sensory regions (in coordination with associative regions, see following text) contribute to perceptual awareness; the limbic circuit contributes to bodily awareness, pain, and emotional awareness; dlPFC and posterior parietal cortex contribute to conscious working memory and conscious thinking; and the default-mode network contributes to conscious memory recall.
- (iv) The specific computational mechanisms within each brain region/circuit contributing to conscious awareness might differ from each other. For instance, conscious perception is likely fastest in audition and slowest in olfaction; perception and volition likely have different computational architecture (see the section on [Beyond visual perception](#)); conscious thinking likely depends on a more recurrent and less hierarchical brain network than conscious perception; conscious memory recall involves tight cortico-hippocampal coordination that is likely not needed for perception or volition.
- (v) The dominant activity pattern in each brain region/circuit automatically contributes to the integrated conscious experience; as a result, conscious phenomenology is multimodal by default [95]. For instance, my visual awareness of the computer screen is experienced in the context of my awareness that I am currently full, not hungry. Similarly, perception rarely operates in isolation and is always informed by our cognition and memories (the extent to which cognition and memories influence perceptual awareness might dictate the extent of the brain networks involved, as discussed previously). Thus, although an isolated visual system might in theory be capable of supporting visual awareness, that is not how it works in the normal human brain.
- (vi) A state-space view ([Figure 2](#), Key figure) can account for the relationship between states of consciousness and contents of consciousness, with each content of consciousness being a point or a subregion within a larger region of the state-space corresponding to the relevant state of consciousness. Note that this view accommodates multilevel nesting: within the region of state-space corresponding to 'normal wakefulness', a subregion corresponds to 'seeing', and a point within this smaller subregion is 'seeing this particular sunset'. States or contents of consciousness that are more similar in phenomenology are closer together in this state space ([Figure 2A](#)), and any point within the state space has defined values along all axes relevant to awareness, thus explaining the multimodal nature of conscious phenomenology ([Figure 2B](#)).

An important question concerns what gives conscious phenomenology its integrated, unitary impression [97]. That is, why are we not a collection of micro-consciousnesses? Under JDT, because of the small-world connectivity of the cerebral cortex, the dominant activity pattern in each brain region/circuit automatically influences other regions/circuits and automatically becomes integrated within the conscious experience. In this regard, JDT resonates with a previously expressed view that the philosophical zombie, a brain exactly like ours with all its normal activity and functions but devoid of consciousness, is impossible [98].

The above description of JDT leaves some key questions unanswered (see [Outstanding questions](#)). Most pressing is what properties govern the 'dominant activity pattern' within each brain region/circuit that contributes to awareness. This was deliberately left vague to accommodate potentially different mechanisms in different brain circuits (one possibility is that the dominant

**Key figure**

A state-space view of neurobiological underpinnings of consciousness



**Figure 2.** (A) In a state-space view of the brain (e.g., [60,103]), each axis of the state space describes the activity of a group of neurons, and the brain at each timepoint occupies one position within the (very high-dimensional) state space. The joint determinant theory (JDT) proposes that each state of consciousness occupies a region of the state space, with different contents of consciousness occupying different subregions within the relevant state-of-consciousness. A specific content of consciousness (e.g., seeing this particular sunset) occupies a point position within the state space. States or contents of consciousness that are more similar to each other are closer together in the state space. Some parts of the state space are disallowed (according to the network organization of the brain) [104]. Thus, a key objective of empirical research is to identify the axes that matter for each state or content of consciousness. (B) The relationship between perceptual experiences in different sensory modalities. For each modality there are specific axes that are most crucial in determining its phenomenology. Thus, experience in one modality can vary relatively independently from that in other modalities (e.g., we can have a great many visual experiences with the same olfactory experience). However, the axes are not completely orthogonal between modalities, such that experience in one modality can influence that in another (e.g., seeing a burnt toast might evoke a burnt smell even when no such smell is present), and this correlation between modalities is strongest in synesthetes.

activity pattern contributing to awareness is the attractor that accounts for aperiodic population activity which is modulated by brain oscillations [42,54,99]. However, the validity of JDT does not depend on this specific prediction.)

### Relations to previous theories and frameworks

IIT, RPT, GNW, and HOT are all theories of consciousness in the sense that each specifies a key property that renders a set of neural activities conscious. For IIT, this is integrated information; for RPT, recurrent processing; for GNW, ignition in the global workspace; for HOT, re-representation of a first-order representation. The first major difference between JDT and these previous theories is that JDT allows the specific form of neural activities underlying awareness to vary depending on the brain circuitry involved and the context. The second major difference is that, although all these previous theories emphasize particular cortical

regions in contributing to awareness (e.g., posterior regions in IIT; frontoparietal regions in GNW; the PFC in HOT), JDT gives equal weight to different cortical regions, postulating that they contribute preferentially to different types of awareness (hence, 'joint determinant'). As such, JDT brings conscious perception, volition, emotion, thoughts, and memory recall into the same framework.

As it currently stands, JDT lies between a theory and a framework because it is not yet precise about what types of properties allow neural activity to directly underlie conscious awareness in each brain circuitry. Contrary to the dominant NCC framework, JDT does not emphasize 'minimal sufficiency'; this is because any neural activity contributing to awareness is doing so in the context of a host of 'enabling factors' [100,101]. In addition, JDT emphasizes that contextual factors might alter the specific neural activity underlying awareness and de-emphasizes the distinction between states and contents of consciousness because they are simply nested subregions of the state space (Figure 2A). In these regards, JDT is more aligned with a recently proposed philosophical framework that advocates approaching consciousness from the perspective of a highly interactive system [101].

### Concluding remarks

In this article I argue that, for a mature science of consciousness, the field needs more patience, more mortar-and-brick work that builds a stronger empirical foundation, and a pluralist approach that prioritizes different forms of conscious awareness equally. In addition, stronger bridges between consciousness science and adjacent fields of cognitive neuroscience will pay dividends. An implication of this pluralistic view is that there may not be a 'Eureka' moment that explains all of consciousness, and the work ahead may take longer than some may expect [98]. However, elucidating the neurobiological underpinnings of every aspect of consciousness is a success in and of itself, and has real-world implications such as helping those with hallucinations, pain, negative affect, disorders of volition, or disorganized thoughts.

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### Declaration of interests

The author declares no conflicts of interest.

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### Outstanding questions

How is perceptual awareness generated in different internal and external contexts?

What are the similarities and differences between the neural mechanisms underlying conscious perception in different sensory modalities (e.g., visual, auditory, somatosensory, olfactory, gustatory, interoceptive) in terms of the brain regions involved (beyond sensory cortex) and information-processing architecture?

What are the neural underpinnings of pain awareness, and how to dissociate them from related signals (e.g., unconscious processing of noxious stimuli, heightened arousal)? What gives pain its defining subjective quality?

What neural mechanisms are responsible for voluntary actions and the sense of agency? How is agency constructed during deliberate actions versus non-deliberate actions?

What neural mechanisms underlie different emotions, and how much overlap is there between the neural mechanisms that underlie emotional awareness and perceptual awareness?

What are the differences in neural mechanisms between unconscious and conscious emotions? What roles do bodily physiological signals play in emotional processing and emotional awareness?

What are the neural mechanisms that support conscious thinking? How does 'gut feeling' work, which is unconscious information processing in the brain with the end result being presented to conscious awareness?

How is information from long-term memory recalled into awareness? What are the neural architectural differences, in terms of encoding, storage, and retrieval, between conscious/declarative memory (e.g., episodic and semantic memory) and unconscious/non-declarative memory (e.g., priming and implicit statistical learning)?

What is the relationship between the hippocampus and the aware-unaware axis of memory organization? Does

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information within conscious awareness have privileged access to long-term memory encoding (as postulated by the GNW theory), and, if so, what are the underlying neural mechanisms?

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